

854737.0005 (TARB-101.1)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                              |   |                        |
|------------------------------|---|------------------------|
| In re Application of:        | ) |                        |
| Brian C. Tarbox et al.       | ) | Examiner:              |
| Serial No.: 10/073,632       | ) | Group Art Unit: 2164   |
| Filed: February 11, 2002     | ) | Confirmation No.: 6664 |
| For: SYSTEMS AND METHODS FOR | ) |                        |
| IMPROVING INVESTMENT         | ) |                        |
| PERFORMANCE                  | ) |                        |

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PRELIMINARY AMENDMENT

Sir:

Prior to the examination of the above-referenced application, please amend this application as follows: